

| | | | |
|---|--|-------------------------------|--------------------------|
| FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE (Modified) PATENT AND TRADEMARK OFFICE | | ATTY. DOCKET NO. 1267/US/4 | APPLN. NO. 10/022,557 |
| INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary) | | APPLICANT: John R. Klug | |
| FILING DATE December 14, 2001 | | ART UNIT 2154 | |
| | | OCT 14 2004 | |

Technology Center 2100

U.S. PATENT DOCUMENTS

| EXAMINER INITIAL | PATENT NUMBER | ISSUE DATE | PATENTEE | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
|---------------------|------------------|---------------|----------|-------|----------|-------------------------------|
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |

FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION

| EXAMINER INITIAL | DOCUMENT NUMBER | PUBLISHED DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATION | |
|---------------------|--------------------|-------------------|---------|-------|----------|-------------|----|
| | | | | | | YES | NO |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

| EXAMINER INITIAL | | |
|---------------------|----|---|
| | 1. | JOHNSON et al., "The Xerox "Star": A Retrospective", IEEE, 1989. |
| | 2. | Office Action in Ex Parte Reexamination (for Patent no. 6,411,985), July 9, 2004. |
| | 3. | Declaration of Gary J. Nutt Under 37 C.F.R. 1.132 (for Patent no. 6,411,985), September 8, 2004. |
| | 4. | Response to Office Action Under 37 C.F.R. 1.550(b) (for Patent no. 6,411,985), September 9, 2004. |
| | 5. | Status of Claims and Support for Claim Changes Under 37 C.F.R. 1.530(e) (for Patent No. 6,411,985), September 9, 2004. |
| | 6. | Amendment to Claims Under 37 C.F.R. 1.530(d)(2) (for Patent no. 6,411,985), September 9, 2004. |
| | 7. | Information Disclosure Statement and Form PTO-1449 Under 37 C.F.R. 1.555 (for Patent no. 6,411,985), September 9, 2004. |
| | | |
| | | |
| | | |

EXAMINER

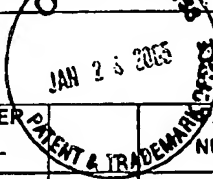
JACK M. CHOULES

DATE CONSIDERED

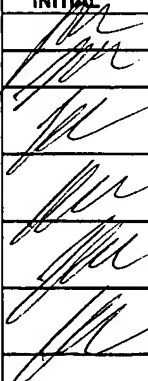
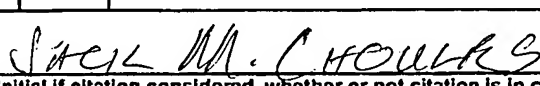
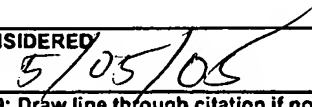
5/05/05

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

| | | |
|--|---|---------------------------------|
| FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE (Modified) PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary) | ATTY. DOCKET NO. 1267/US/4 | APPLN. NO. 10/022,557 |
| | APPLICANT: John R. Klug | |
| | FILING DATE December 14, 2001 | ART UNIT 2154 |

|  U.S. PATENT DOCUMENTS | | | | | | | |
|--|------------------|---------------|----------|-------|-----------|-------------------------------|--|
| EXAMINER INITIAL | PATENT NUMBER | ISSUE DATE | PATENTEE | CLASS | SUBCLASS. | FILING DATE IF APPROPRIATE | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |

| FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION | | | | | | | | |
|--|--------------------|-------------------|---------|-------|----------|-------------|----|--|
| EXAMINER INITIAL | DOCUMENT NUMBER | PUBLISHED DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATION | | |
| | | | | | | YES | NO | |
| | | | | | | | | |
| | | | | | | | | |
| | | | | | | | | |
| | | | | | | | | |

| OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) | | |
|---|---|--|
| EXAMINER INITIAL | | |
|  | 1. | Office Action in Ex Parte Reexamination (for Patent No. 5,799,320), October 27, 2004. |
| | 2. | Ex Parte Reexamination Interview Summary (for Patent no. 5,799,320), December 15, 2004. |
| | 3. | Response to Office Action Under 37 C.F.R. 1.550(b) (for Patent No. 5,799,320), December 22, 2004. |
| | 4. | Amendment to Claims Under 37 C.F.R. 1.530(d)(2) (for Patent No. 5,799,320), December 22, 2004. |
| | 5. | Status of Claims and Support for Claim Changes Under 37 C.F.R. 1.530(e) (for Patent No. 5,799,320), December 22, 2004. |
| | 6. | Interview Written Statement per 37 C.F.R. 1.560(b) (for Patent no. 5,799,320), December 22, 2004. |
| | | |
| | | |
| | | |
| | | |
| EXAMINER | DATE CONSIDERED | |
|  |  | |
| EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. | | |

PTO/SB/08a (08-03)
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Substitute for Form 1449A/PTO

APPLICATION NO.:

10/022,557

FILING DATE:

December 14, 2001

INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

APPLICANT:

John R. Klug

ART UNIT:

2177

EXAMINER NAME:

Choules, Jack M.

ATTY. DOCKET NO.:

1267/US/4

(Use as many sheets as necessary)



U.S. PATENT DOCUMENTS

| EXAMINER INITIALS* | Cite No. ¹ | PATENT NUMBER | ISSUE DATE | Name of Patentee of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
|-----------------------|--------------------------|---|---------------|---------------------------------------|---|
| | | Number - Kind Code ² (if known) | MM-DD-YYYY | | |
| | | | | | |

FOREIGN PATENT DOCUMENTS

| EXAMINER INITIALS* | Cite No. ¹ | DOCUMENT NUMBER | PUBLICATION DATE | Name of PATENTEE or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | T ⁴ |
|-----------------------|--------------------------|---|---------------------|---|---|----------------|
| | | Country Code ² - Number ³ - Kind Code ⁵ (if known) | MM-DD-YYYY | | | |
| | | | | | | |

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

| EXAMINER INITIALS* | Cite No. ² | Include name of Author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T ³ |
|-----------------------|--------------------------|---|----------------|
| <i>[Signature]</i> | 1. | Notice of Non-Compliant Amendment (for Patent No. 5,799,320), January 11, 2005. | |
| <i>[Signature]</i> | 2. | Response to Notice of Non-Compliant Amendment (for Patent No. 5,799,320), February 10, 2005. | |
| <i>[Signature]</i> | 3. | Status of Claims and Support for Claim Changes Under 37 C.F.R. 1.530(e) (for Patent No. 5,799,320), February 10, 2005. | |
| <i>[Signature]</i> | 4. | Supplemental Response (for Patent No. 5,799,320), March 15, 2005. | |
| <i>[Signature]</i> | 5. | Second Declaration by Gary J. Nutt Under 37 C.F.R. 1.132 (for Patent No. 5,799,320), March 15, 2005. | |
| <i>[Signature]</i> | 6. | Office Action, Patent Application No. 10/021,999, October 1, 2004 | |

EXAMINER

JACK M. CHOULES

DATE CONSIDERED

5/05/05

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

* EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁵ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁶ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁷ Applicant is to place a check mark here if English language Translation is attached.

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation number (optional).

² Applicant is to place a check mark here if English language Translation is attached.